FCC 601

FEDERAL COMMUNICATIONS COMMISSION

## Information and Instructions

# Long-form Application for Authorization in the Auctionable Services

Approved by OMB 3060 - 0798 Est. Avg. Burden per Response hours 2 hours

For Commercial Mobile Radio, Auctionable Fixed Microwave and Personal Radio Services

# NOTICE TO INDIVIDUALS REQUIRED BY THE PRIVACY ACT OF 1974 AND THE PAPERWORK REDUCTION ACT OF 1995

We have estimated that each response to this collection of information will take on average 2 hours. Our estimate includes the time to read the instructions, look through existing records, gather and maintain required data, and actually complete and review the form you, please write the Federal Communications Commission, AMD-PERM, Washington, DC 20554, Paperwork Reduction Project (3060-application forms to this address.

You are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection unless it displays a currently valid OMB control number or if we fail to provide-you with this notice. This collection has been assigned an OMB control number of 3060-0798.

The FCC is authorized under the Communications Act of 1934, as amended, to collect the personal information we request in this form. We will use the information you provide to determine whether approving this application is in the public interest. If we believe there may be a violation or potential violation of a statute, FCC regulation, rule or order, your application may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing or implementing the statute, rule, regulation or order. In certain cases, the information in your application may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; or (b) any employee of the FCC; or (c) the United States Government, is a party to a proceeding before the body or has an interest in the proceeding.

If you owe a past due debt to the Federal government, the Taxpayer Identification Number (such as your Employer Identification Number or Social Security Number) and other information you provide may also be disclosed to the Department of Treasury Financial Management Service, other federal agencies and/or your employer to offset your salary, IRS tax refund or other payments to collect that debt. The FCC may also provide this information to these agencies through the matching of computer records when authorized.

In addition, all information provided in this form, except Taxpayer Identification Number, will be available for public inspection. If you do not provide the information we request on the form, the FCC may delay processing of your application or may return your application without action.

This notice is required by the Privacy Act of 1974, Public Law 93-579, December 31, 1974, 5 U.S.C. Section 552a(e)(3) and the Paperwork Reduction Act of 1995, Public Law 104-13, October 1, 1995, 44 U.S.C. 3507.

## **General Instructions**

#### **Purpose of Form**

FCC Form 601 is used to apply, or to amend a pending application, for an authorization to operate a license in the Commercial Mobile Radio, Auctionable Fixed Microwave and Personal Radio Services.

FCC Form 601 is a multi-sectional form. Each application or amendment may contain as many Schedules as necessary.



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## Who Must File What Forms and When

All initial long-form applications for auction winners should be filed using the FCC Form 601. Further, all additional applications and similar requests by auction licensees (i.e., modification requests, amendments, renewals, cancellations, notifications, extensions of time, and so on) should be filed using this FCC form. Incumbent licensees who received authorization from the FCC prior to auctions (i.e., Cellular Radiotelephone, Paging and Radiotelephone, Specialized Mobile Radio, 929-930 MHz Paging Systems and Public Coast license via auctions should use the FCC Form 601.

## **Information Current and Complete**

Information filed with the FCC must be kept current and complete. The applicant must notify the FCC regarding any substantial and significant changes in the information furnished in the application(s). See Section 1.65 of the Commission's rules.

#### **Paper Copies**

The number of paper copies of this application required to be filed is one original. Applicants filing electronically should follow procedures contained in online help files.

## Processing Fee and Filing Locations

A processing fee may be required with this form. To determine the required fee amount, refer to Subpart G of Part 1 of the Code of Federal Regulations (47 CFR Part 1, Subpart G) and the current Wireless Telecommunications Bureau Fee Filing Guide. For assistance with fees applicable to the radio services governed by the FCC's rules, call (202) 418-0220 or 1-888-CALL-FCC (225-5322). The Fee Forms Distribution Center at (800) 418-3676.

Paper applications requiring a fee must be submitted to the appropriate P. O. Box address in Pittsburgh, PA designated for the radio service in which you are filing your application. Consult the current Wireless Telecommunications Bureau Fee Filing Guide or call 1-888-CALL-FCC (225-5322) for the specific mailing address.

Non-feeable paper applications should be mailed to Federal Communications Commission, 1270 Fairfield Road, Gettysburg, PA 17325-7245. (If this application is filed as a result of an auction, please specify the Auction Number as indicated in your bidder's package.)

Instructions for filing fee payments for an electronically filed application are included in the on-line help files for the application.

## Applicable Rules and Regulations

Applicants should obtain the relevant parts of the FCC's rules in 47 CFR. Copies of 47 CFR may be purchased from the Superintendent of Documents, Government Printing Office, Washington, DC 20402; (202) 512-1800. Refer also to the Government Printing Office's Website at http://www.access.gpo.gov. Some FCC rules require applicants to attach one or more exhibits to an application in addition to the information requested in the application form.

#### **Exhibits**

For initial application filings, see the appropriate Auction Public Notice. For filings other than an initial application, each document required to be filed as an exhibit should be current as of the date of filing. Each page of every exhibit must be identified with the number or letter of the exhibit, the number of the page of the exhibit, and the total number of pages of the exhibit.

#### **Waiver Requests**

Requests for waiver must contain as an exhibit a statement of reasons sufficient to justify a waiver. The required showing must be made for all rule waivers desired, identifying the specific rules or policies for which the waiver is requested. Refer to the Wireless Telecommunications Bureau Fee Filing Guide for fee requirements for waivers. For assistance with fees applicable to the radio services governed by the FCC's rules, call (202) 418-0220 or 1-888-CALL-FCC (225-5322).

#### For Assistance

For assistance with this application, contact the Federal Communications Commission, 1270 Fairfield Road, Gettysburg, PA 17325-7245, call 1-888-CALL-FCC (225-5322).

#### **Electronic Filers**

For assistance with filing electronically, contact the Wireless Telecommunications Bureau Technical Support line, (202) 414-1250.

## Instructions for Main Form

#### ▶ Radio Service Code

Item 1 Enter the Radio Service Code for which the applicant is filing, by inserting the appropriate code, as follows:

#### Commercial Mobile Radio Services

220-222 MHZ, auctioned (Part 90) 806-821/851-866 MHZ Specialized Mobile Radio, auctioned (Part 90) 896-901/935-940 MHZ Specialized Mobile Radio, auctioned (Part 90) 929-930 MHZ Paging Systems, auctioned (Part 90) General Wireless Communications Service (Part 26) Paging and Radiotelephone, auctioned (Part 22) CZ	
Broadband CW Narrowband CN Public Coast Stations, auctioned (Part 80) PC Wireless Communications Service (Part 27) WS	
Fixed Microwave Services (Part 101)  Local Multipoint Distribution Service	
Interactive Video and Data Service (Part 95)	

### ► Application Purpose

<u>Item 2</u> Indicate the purpose for which the application is being filed by inserting the appropriate two-letter abbreviation from the following list. Only one purpose may be specified.

- **NE** New: To request a new license. This purpose should only be used for initial applications. Complete and attach Schedule for Auctionable Services, FCC Form 601, Schedule B.
- MD Modification: To request a change in the conditions of any data (administrative or technical) for a license during the term for that license. Use Item 5 to provide the Call Sign of the affected station. All appropriate schedules must be completed and attached, and must accurately describe the data that has been modified. See applicable Commission rules. If the modification affects multiple Call Signs, complete and attach Schedule for Multiple Call Signs or File Numbers, FCC Form 601, Schedule A.

**Note:** After a license is modified, the existing license is no longer valid, regardless of the expiration date shown. License terms will not be extended.

AM - Amendment: To amend a previously-filed, currently pending application. Use Item 4 to provide the File Number of the application. All appropriate schedules must be completed and attached, and must accurately reflect the amendment's data. See applicable Commission rules. If the amendment affects multiple File Numbers, complete and attach Schedule for Multiple Call Signs or File Numbers, FCC Form 601, Schedule A.

- RO Renewal Only: To renew an existing authorization, STA, or developmental authorization that has not expired and where no changes in the conditions are being requested at the time of renewal. (To make any modifications to the main form or technical data, use the Renewal/Modification purpose.) Use Item 5 to provide the Call Sign of the affected station. If the renewal affects multiple Call Signs, complete and attach Schedule for Multiple Call Signs or File Numbers, FCC Form 601, Schedule A. In addition to the purpose and Call Sign, only the Signature area of this form (Items 48-50) must be
- RM Renewal/Modification: To renew an existing authorization, STA, or developmental authorization and request a change in the conditions for that authorization. Use Item 5 to provide the Call Sign of the affected station. All appropriate schedules must be completed and attached, and must accurately describe the data that has been modified. If the renewal/modification affects multiple Call Signs, complete and attach Schedule for Multiple Call Signs or File Numbers, FCC Form 601, Schedule A.
- EI Reinstatement: To request that an expired license be reinstated. (To make any modifications to the main form or technical data, use the Reinstatement/Modification purpose.) Use Item 5 to provide the Call Sign of the affected station. If Form 601, Schedule A. In addition to the purpose and Call Sign, only the Signature area of this form (Items 48-50) must be completed.
- EM Reinstatement/Modification: To request that an expired license be reinstated and request a change in the conditions for that authorization. Use Item 5 to provide the Call Sign of the affected station. If the reinstatement/modification affects multiple Call Signs, complete and attach Schedule for Multiple Call Signs or File Numbers, FCC Form 601, Schedule A.
- CA Cancellation: To cancel an existing license. Use Item 5 to provide the Call Sign of the affected station. If the cancellation affects multiple Call Signs, complete and attach Schedule for Multiple Call Signs or File Numbers, FCC Form completed.
- WD Withdrawal: To withdraw a previously-filed, currently pending application. Use Item 4 to provide the File Number of the application. If the withdrawal affects multiple File Numbers, complete and attach Schedule for Multiple Call Signs or File Numbers, FCC Form 601, Schedule A. In addition to the purpose and File Number, only the Signature area of this form (Items 48-50) must be completed.
- **DU** Duplicate: To request a hardcopy duplicate of an existing license. Use Item 5 to provide the Call Sign of the affected station. If the duplication is needed for multiple Call Signs, complete and attach Schedule for Multiple Call Signs or File Numbers, FCC Form 601, Schedule A. In addition to the purpose and Call Sign, only the Signature area of this form (Items 48-50) must be completed.
- NT Notification: To notify the FCC that, within the required time period, either (a) an assignment of authorization or transfer of control has been consummated, or (b) the coverage requirements have been satisfied. Also complete and attach Required Notifications for Wireless Services, FCC Form 601, Schedule K.
- EX Extension of Time: To request additional time to either (a) consummate an assignment of authorization or transfer of control, or (b) satisfy coverage requirements. Also complete and attach Extension of Time Requests for Wireless Services, FCC Form 601, Schedule L.
- Item 3 If the filing is a request for a Developmental License or an STA (Special Temporary Authorization), enter D or S, respectively. Otherwise, enter N for Not Applicable. The FCC may grant applications for developmental authority to construct and operate transmitters for the purpose of developing a new radio service or a new technology not regularly authorized under specific FCC rules, subject to the appropriate requirements governing developmental authorizations contained in the FCC rules. In circumstances requiring immediate or temporary use of facilities, request may be made for special temporary authority to install and/or operate new or modified equipment, subject to the appropriate requirements governing Special Temporary Authorizations contained in the FCC rules.
- <u>Item 4</u> If the filing is an amendment or withdrawal of a previously-filed application, provide the File Number of the original application. This information can be obtained by contacting the FCC at 1-888-225-5322. If the amendment or withdrawal affects multiple File Numbers, complete and attach Schedule for Multiple Call Signs or File Numbers, FCC Form 601, Schedule A.
- Item 5 The information requested in this item identifies the existing stations to which the filing is relevant. If the filing is a request for a modification, renewal only, renewal/modification, reinstatement of an existing license, cancellation, or duplicate, enter the Call Sign of the license. If the request affects multiple Call Signs, complete and attach Schedule for Multiple Call Signs or File Numbers, FCC Form 601, Schedule A.

<u>Item 6</u> If the filing is a request for a waiver or exception to the Commission's rules, enter Y (otherwise, enter N) and attach an exhibit that lists the rule section(s) of the affected rules and explain the circumstances.

## ► Applicant Information

<u>Item 7</u> For individuals, enter the Social Security Number. For all other filers, enter the Employer Identification Number. This data is required to comply with the Debt Collection Improvement Act of 1996. This information will not be made available for public inspection.

Item 8 Enter the letter that indicates the type of legal entity applying.

## ▶ Legal Name of Applicant

Items 9 through 21 identify the applicant. If an authorization is granted, the information provided will become the licensee's name, address, and telephone number of record. The FCC will send the authorization and notice of all final dispositions of an application to

Items 9-10 If Item 8 is I (for Individual), enter the name of the person applying in Item 9. Otherwise, enter the name of the entity in Item 10.

Item 11 Enter the name of the Real Party in Interest (i.e., the party showing *de facto* and *de jure* control) of the applicant. The Real Party in Interest is the individual or entity with ultimate or overall control of the license or application. For the detailed definition of the Real Party in Interest, refer to the Commission's rules, especially Sections 24.413, 24.813, and 27.307. It may also be necessary to file the FCC Wireless Telecommunications Bureau Ownership Form, FCC Form 602.

<u>Item 12</u> Enter the Taxpayer Identification Number for the Real Party in Interest. For individuals, enter the Social Security Number. For all other filers, enter the Employer Identification Number. This information will not be made available for public inspection.

<u>Items 13-21</u> Enter the name, address, and telephone number of the person to whom the FCC should send correspondence. You may enter a post office box number in Item 14 or a street address in Item 15, or enter information for both items. Enter a telephone number, including area code, for Item 19.

Failure to respond to FCC correspondence sent to the address of record may result in dismissal of an application, liability for forfeiture, or revocation of an authorization.

Item 21 Enter the applicant's e-mail address, if desired and available.

#### ► Contact Information

Items 22-31 These items identify the contact representative, if different from the applicant. This is usually the headquarters office of a large company, the law firm or other representative of the applicant, or the person or company that prepared or submitted the applicant on behalf of the applicant. If there is a question about the application, an FCC representative will communicate with the

If this section is used, a name (Item 22) and telephone number (Item 29) are required at a minimum. If the address items are completed, you may enter a post office box number in Item 24 or a street address in Item 25, or enter information for both items.

### ▶ Regulatory Status

Item 32 Enter the letters for all types of radio service offerings that apply to the service being provided. Use the Modification (MD) purpose in Item 2 to change or add radio service offerings. If Common Carrier is selected, the applicant must have a complete and up-to-date Ownership Form, FCC Form 602, with applicable schedules, either on file or filed with this application.

All entities that are "telecommunications carriers" should select common carrier on this form. The term "telecommunications carrier" means any provider of telecommunications services, except that such term does not include aggregators of telecommunications services (the term "aggregator" means any person that, in the ordinary course of its operations, makes telephones available to the public or to transient users of its premises, for interstate telephone calls using a provider of operator services). A telecommunications carrier shall hire indiscriminately, in interstate or foreign communications by wire or radio, or in interstate or foreign radio transmission of energy, services.

The term "telecommunications service" means the offering of telecommunications (i.e., the transmission, between or among points specified by the user, of information of the user's choosing, without change in the form or content of the information as sent and the facilities used.

Non-common carriers generally do not hold themselves out indiscriminately for hire as carriers of communications provided by the customer. A person engaged in radio broadcasting shall not, insofar as such person is so engaged, be deemed a common carrier. Thus, those entities not engaged in for hire carriage of communications would select non-common carrier for this item of the Form 601.

Private carriers would consist of all entities that are utilizing telecommunications services purely for internal business purposes or public safety communications and not on a for hire or for profit basis. Such entities should select "private" on the Form 601.

## ▶Type of Radio Service

Item 33 Enter the letters for all applicable types of radio services.

<u>Item 34</u> Mark this item Y if the applicant proposes to provide interconnected service to the public switched telephone network, as defined in the FCC rules. Otherwise, mark this item N.

#### ► Fee Status

Items 35-37 These items allow the applicant to apply for exemption from FCC application fees and regulatory fees. See the instructions for FCC Remittance Advice, FCC Form 159.

### ► Environmental Policy

<u>Item 38</u> This item is required for compliance with the National Environmental Policy Act of 1969, as amended, 42 U.S.C. 4321-4335. See also Part 1, Subpart I of the FCC rules (47 CFR 1.1301 - 1.1319). This item must be answered, either Y or N.

Enter Y if an FCC grant of the proposed facility may have a significant environmental effect, as defined in Section 1.1307 of the FCC rules and provide the required environmental assessment. Examples of facilities that may have a significant effect on the environment include:

An antenna structure located in a residential area (as defined by applicable zoning laws) that will utilize high intensity aviation obstruction lighting

A facility located in an officially designated wilderness area, wildlife preserve, or floodplain

A facility that affects a site significant in American history

A facility whose construction involves extensive changes in surface features

If this item is answered Y, complete the Environmental Data section of the Schedule for Auctionable Services, FCC Form 601, Schedule B, and attach an Environmental Assessment.

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#### ▶ Alien Ownership Questions

Items 39-43 These items request indications and information that enable the FCC to determine whether an applicant is eligible under Section 310 of the Communications Act of 1934, as amended to hold a station license. The FCC cannot grant an authorization to a foreign government or the representative of a foreign government. Therefore, if the true and correct answer to Item 39 is "yes," the applicant is not eligible for a license and the FCC will dismiss the application, if filed, without further consideration. The FCC cannot grant an authorization to provide commercial radio service to any applicant for which the true and correct answer to Item 40, 41, or 42 is "yes." The applicant must answer all questions. A "yes" answer to any question requires an exhibit to be attached explaining the circumstances.

#### ▶ Basic Qualification Questions

Items 44-47 These items request information that enables the Commission to determine whether the applicant is qualified to hold an FCC authorization. If the answer to any one or more of Items 44-47 is "yes," attach as an exhibit a statement explaining the circumstances and a statement giving the reasons why the applicant believes that grant of the application would be in the public interest notwithstanding the actual or alleged misconduct.

#### ▶ General Certification Statements

By signing this form, the applicant certifies that the statements listed in this section are true, complete, correct, and made in good faith.

#### ► Signature

<u>Items 48-50</u> These items must be completed. To be acceptable for filing, applications and amendments must be signed in accordance with Part 1 of the FCC rules. The signor must be a person authorized to sign the application. Paper originals of applications must bear an original signature. On paper originals, neither rubber-stamped nor photocopied signatures are acceptable.

## Instructions for Schedule for Multiple Call Signs or File Numbers FCC Form 601, Schedule A

FCC Form 601, Schedule A, is a supplementary schedule for use with the Long-form Application for Authorization in the Auctionable Services, FCC Form 601. Complete this schedule to submit global changes to items on FCC Form 601 that affect either multiple Call Signs or multiple File Numbers, depending on the Application Purpose entered for Item 2 on Form 601. Note the following:

Multiple File Numbers may be affected by these purposes: Amendment or Withdrawal

Multiple Call Signs may be affected by these purposes: Modification, Renewal/Modification, Renewal Only, Reinstatement, Cancellation, or Duplicate

Each Schedule A or groups of Schedule A filed with Form 601 must use the same purpose, and only one purpose can be specified per submission.

## Instructions for Schedule for Auctionable Services FCC Form 601, Schedule B

FCC Form 601, Schedule B, is used to apply for the required license authorization when the applicant has been determined to be the winning bidder at the close of an FCC auction. It is also used by auction winners that must file environmental assessment technical data.

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#### ► Market/Channel Block

Item 1 Complete this item only if the filing is for an authorization in one of the radio services that is licensed on a geographic licensing area or market basis (e.g., Personal Communications Service) and was won through an auction. It identifies the market to which the filing pertains. The market designators are listed in FCC Public Notices or in the FCC rules.

<u>Item 2</u> Complete this item only if the filing is for an authorization in one of the radio services that is licensed on a geographic licensing area or market basis (e.g., Personal Communications Service) and was won through an auction. In addition to the Market Designator, it identifies the market to which the filing pertains. The market designators are listed in FCC Public Notices or in the FCC rules.

Item 3 Complete this item only if the filing is for an authorization in one of the radio services for which spectrum is assigned in channel blocks and was won through an auction.

Item 4 Complete this item only if the filing is for an authorization in one of the radio services that is licensed on a geographic licensing area or market basis and the market has been subdivided.

#### ► Environmental Data

Complete this section only if Item 38 (Environmental Policy) on the FCC Form 601 has been answered "yes." This section is used to enter a list of sites that have environmental impact.

For locations in the United States and the Caribbean Islands, coordinates of the transmit antenna location must be referenced to the North American Datum of 1983 (NAD83).

<u>Item 5</u> Enter the appropriate latitude using the format *DD-MM-SS.S-D*, where the degrees (*DD*) term can have a value in the range of 0 to 90, minutes (*MM*) can range from 0 to 59, seconds (*SS.S*) can range from 0 to 59.9, and the direction (*D*) term is either N for North or S for South.

<u>Item 6</u> Enter the longitude using the format *DDD-MM-SS.S-D*, where the degrees (*DDD*) term can have a value in the range of 0 to 180, minutes (*MM*) can range from 0 to 59, seconds (*SS.S*) can range from 0 to 59.9, and the direction (*D*) term is either E for East or W for West.

- Item 7 Enter the name of the city where the site is located.
- Item 8 Enter the name of the county where the site is located.
- Item 9 Enter the two-letter abbreviation for the state where the site is located.

### ▶ Certification Statements

By signing the main form, FCC Form 601, the applicant certifies that the statements listed in this section are true, complete, correct, and made in good faith.

## Instructions for Required Notifications for Wireless Services FCC Form 601, Schedule K

FCC Form 601, Schedule K, is a supplementary schedule for use with the Application for Authorization in the Auctionable Services, FCC Form 601. Complete this schedule to notify the FCC that, within the required time period, either coverage requirements have been satisfied or an assignment of authorization or transfer of control has been consummated.

#### ▶ Purpose

Enter the one-letter abbreviation that indicates the purpose for which the application is being filed, as specified in Items 1 and 3 of this schedule.

### ▶1) Satisfaction of Coverage Requirements

Use this section if this notification is for satisfaction of coverage requirements. On the Purpose line of this schedule, enter one of three characters:

Enter 1 if 1st buildout coverage requirements have been satisfied

Enter 2 if 2nd buildout coverage requirements have been satisfied

Enter A if all coverage requirements have been satisfied

In Item 2, list the Call Signs and (if applicable) specific sites within Call Signs held by the applicant. Additionally, in the field provided at the bottom of this section, enter the date on which the required coverage requirements were met.

#### ▶2) Call Signs/Site Numbers

This section applies only to a notification as indicated by use of Item 1. In this table, list the Call Signs and (if applicable) specific sites within Call Signs held by the applicant.

## ▶3) Consummation of Assignment of Authorization or Transfer of Control

If this notification is for consummation of assignment of authorization or transfer of control, the assignee or transferee must complete this section.

On the Purpose line of this schedule, enter C. At the bottom of this section, fill in the File Number and date that the transaction was

# Instructions for Extension of Time Requests for Wireless Services FCC Form 601, Schedule L

FCC Form 601, Schedule L, is a supplementary schedule for use with the Application for Authorization in the Auctionable Services, FCC form 601. Complete this schedule to request additional time to either satisfy coverage requirements or consummate an assignment of authorization or transfer of control.

#### ▶ Purpose

Enter the one-letter abbreviation that indicates the purpose for which the application is being filed, as specified in Items 1 and 3 of this schedule.

#### ▶1) Coverage Requirements

Use this section if the extension is requested to complete coverage requirements. On the Purpose line of this schedule, enter one of three characters:

Enter 1 if an extension is being requested for 1st buildout coverage requirements

Enter 2 if an extension is being requested for 2nd buildout coverage requirements

Enter A if the radio service for which an extension is being requested has neither the 1st or 2nd coverage requirements

In Item 2, list the Call Signs and (if applicable) specific sites within Call Signs held by the applicant. Additionally, in the field provided at the bottom of this section, enter the new coverage expiration date being requested. You must also attach an exhibit describing the circumstances and justification for this extension request.

#### ▶2) Call Signs/Site Numbers

This section applies only to an extension to complete coverage requirements, as indicated by use of Item 1. In this table, list the Call Signs and (if applicable) specific sites within Call Signs held by the applicant.

## ▶3) Consummation of Assignment of Authorization or Transfer of Control

Use this section if the extension is requested to consummate assignment of authorization or transfer of control.

On the Purpose line of this schedule, enter C. Below the options in this section, enter the File Number and requested expiration date for the consummation.

Telephone Number:

21) E-Mail:

## Long-form Application for Authorization in the Auctionable Services

For Commercial Mobile Radio, Auctionable Fixed Microwave and Personal Radio Services

Approved by OMB 3060 - 0798 See instructions for public burden estimate

1) Radio Service Code: Application Purpose (Select only one) ( 2) NE - New RO - Renewal Only EM - Reinstatement/Modification **DU** - Duplicate MD - Modification RM - Renewal/Modification CA - Cancellation NT - Notification AM - Amendment EI - Reinstatement WD - Withdrawal EX - Extension of Time If this request is for a  $\underline{D}$  evelopmental License or an  $\underline{S}$ TA (Special Temporary Authorization), enter the 3) )<u>D</u> <u>S</u> <u>N</u>/A appropriate code; otherwise enter N (Not Applicable) 4) If this request is for an Amendment or Withdrawal, enter the File Number of the pending application File Number: currently on file with the FCC. 5) If this request is for a Cancellation, Duplicate, Modification, Renewal Only, Renewal/Modification, or Call Sign: Reinstatement enter the Call Sign of the existing FCC license. 6) Does this filing propose a Waiver or Exception to the Commission's Rules? )Yes <u>N</u>o If 'Yes', attach an exhibit providing rule numbers and explaining circumstances. Applicant Information 7) Taxpayer Identification Number: 8) Licensee is a(n): ( Individual Unincorporated Association Trust **Government Entity** Corporation Limited Liability Corporation Partnership 9) First Name (if individual): MI: Last Name: Suffix: 10) Entity Name (if other than individual): 11) Name of Real Party In Interest of Applicant: 12) Taxpayer Identification Number: 13) Attention To: 14) P.O. Box: 15) Street Address: And 16) City: 17) State: 18) Zip:

20) FAX:

Contact Information (If different from the Applic	cant)		•				
22) First Name:		MI:	Last Nam	ie:		$\overline{T}$	Suffix:
23) Entity Name:							
24) P.O. Box:	And	25) Stre	et Address:	:			——
26) City:	/Or						-
				27) State:	28) Zip:		
29) Telephone Number:			30) FAX	<b>X</b> :	<del></del>		
31) E-Mail:							
Regulatory Status							
32) This filing is for authorization to provide or use the	e follo	wing type(s	s) of radio s	service offering (ente	ar all that canho		
/ ) .		ommon Car					
If Common Carrier is selected, applicant must have a complete application.				1 (FCC 602) with all rec	nternal communications quired attachments either on fi	ile or filed	f with this
ype of Radio Service				<del></del>	. 19	<del></del>	
33) This filing is for authorization to provide the follow	ing typ	pe(s) of rac	lio service (	(enter all that apply)	<u></u>		<del></del>
( ) <u>F</u> ixed ( <u>)M</u> obile		(	) <u>R</u> adiolo		) <u>S</u> atellite (sound)		
34) Interconnected Service?				`	/ <u>Determine</u> (Sourid)	\ <b>V</b> oo	. No
ee Status					\		<u>N</u> o
35) Is the Applicant exempt from FCC application fees	;?	·	<del></del>	<del></del>			
36) Is the Applicant exempt from FCC regulatory fees? 37) If 'Yes' does Applicant qualify as a New Comment.	?					) <u>Y</u> es )Yes	
37) If 'Yes', does Applicant qualify as a Non-Commerci						) <u>Y</u> es	
If the answer to either 36 or 37 is Yes', attach a copy of the cun	rent IRS	5 Determinat	ion Letter do	cumenting status under	IRS Code Section 501.		· · · · · · · · · · · · · · · · · ·
nvironmental Policy		<del></del>				_	
38) Would a Commission grant of this application be at by Section 1.1307 of 47 CFR? If 'Yes', submit an environmental assessment as required by	n actio y 47 CF	in which m	ay have a s	significant environme	ental effect as defined (	) <u>Y</u> es	<u>N</u> o
lien Ownership Questions							
<ol> <li>Is the Applicant a foreign government or the repres If 'Yes', attach exhibit explaining circumstances.</li> </ol>	entativ	e of any fo	oreign gove	rnment?	(	) <u>Y</u> es	<u>N</u> o
10) Is the Applicant an alien or the representative of an If 'Yes', attach exhibit explaining circumstances.	1 alien?	?			(	) <u>Y</u> es	<u>N</u> o
<ol> <li>Is the Applicant a corporation organized under the I If 'Yes', attach exhibit explaining circumstances.</li> </ol>	laws of	f any foreig	n governm	ent?	(	) <u>Y</u> es	<u>N</u> o

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		•			
42) Is the Applicant a corporation of which more than one-fifth oby aliens or their representatives or by a foreign governmen organized under the laws of a foreign country? If 'Yes', attach exhibit explaining circumstances.	of the cap	oital stock is owned of record or voted esentative thereof or by any corporation	(	) <u>Y</u> es	<u>N</u> o
43) Is the Applicant directly or indirectly controlled by any other of the capital stock is owned of record or voted by aliens, th or representative thereof, or by any corporation organized used if 'Yes', attach exhibit explaining nature and extent of alien or foreign.	eir repres	laws of a foreign country?	(	) <u>Y</u> es	<u>N</u> o
asic Qualification Questions				<u>.</u>	
44) Has the Applicant or any party to this application or amendor construction permit revoked or had any application for an authorization, license, construction permit denied by the Co If 'Yes', attach exhibit explaining circumstances.	ınπıaı, rı	lognication of fellewal of FCC station	(	) <u>Y</u> es	<u>N</u> o
45) Has the Applicant or any party to this application or amend the Applicant, ever been convicted of a felony by any state If 'Yes', attach exhibit explaining circumstances.	ment, or or federa	any party directly or indirectly controlling at court?	(	) <u>Y</u> es	<u>N</u> o
-flf.th, manapolizing or attempting unlawfully to mone	6) Has any court finally adjudged the Applicant or any party directly or indirectly controlling the Applicant guilty of unlawfully monopolizing or attempting unlawfully to monopolize radio communication, directly or indirectly, through control of manufacture or sale of radio apparatus, exclusive traffic arrangement, or any other means or unfair methods of competition?				
47) Is the Applicant or any party directly or indirectly controlling any pending matter referred to in the preceding two items? If 'Yes', attach exhibit explaining circumstances.	the App	olicant, currently a party in		) <u>Y</u> es •	<u>N</u> o
Seneral Certification Statements					
The Applicant waives any claim to the use of any particular frequence because of the previous use of the same, whether by license or other.	y or of the rwise, and	electromagnetic spectrum as against the regulatory prequests an authorization in accordance with this appropriate the second of	ower of the olication.	United \$	States
2) The Applicant will have unlimited access to the radio equipment and					
3) The Applicant certifies that grant of this application would not cause					
The Applicant certifies that the signature is that of the individual, par an unincorporated association, or a duly authorized employee of the	ner, or off entity.	icer or duly authorized employee of a corporation, or o	officer who i	s a mem	ber of
<ol> <li>The Applicant certifies that all statements made in exhibits, attachments are true, complete, correct, and made in good faith.</li> </ol>	ents, or in	documents incorporated by reference are material, are	e part of this	applica	tion and
6) The Applicant certifies that neither the Applicant nor any other party Anti-Drug Abuse Act of 1988, 21 U.S.C. * 862, because of a convict	to the app	dication is subject to a denial of Federal benefits pursussession or distribution of a controlled substance.	uant to Sect	ion 5301	of the
Signature 48) Typed or Printed Name of Party Authorized to Sign					
First Name:	MI:	Last Name:		Suffix	c:
49) Title:					
Signature:			50) Date	e:	
Failure To Sign This Application May Result	In Dismis	sal Of The Application And Forfeiture Of Any Fees	Paid		

WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001) AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT (U.S. Code, Title 47, Section 312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503).

#### FCC 601 Schedule A

## Schedule for Multiple Call Signs or File Numbers

For Commercial Mobile Radio, Auctionable Fixed Microwave and Personal Radio Services

Approved by OMB 3060 - 0798 See instructions for public burden estimate

Enter only multiple call signs or only multiple file numbers for the following Form 601 purposes:

Amendment or Withdrawal (File Numbers)

Cancellation, Duplicate, Modification, Reinstatement, Renewal Only, or Renewal/Modification (Call Signs)

Note:

Form 601 allows the selection of only one purpose per submission.

Each Schedule A or groups of Schedule A filed with Form 601 must use the same purpose.

Call Sign or File Number	Call Sign or File Number	Call Sign or File Number	Call Circ
		orgin of the Number	Call Sign or File Numb
		•	P
·			

#### Schedule for Auctionable Services

For Commercial Mobile Radio, Auctionable Fixed Microwave and Personal Radio Services

Approved by OMB 3060 - 0798 See instructions for public burden estimate

#### Market/Channel Block

1) Market Designator	2) Market Name	3) Channel Block	4) Sub-Market Designator

Environmental Data (If required)

5) NAD83 Latitude (DD-MM-SS.S-D)	6) NAD83 Longitude (DDD-MM-SS.S-D)	7) City	8) County	9) State
- <del> </del>				

#### **Certification Statements**

For Applicants Claiming Eligibility as an Entrepreneur Under the General Rule

Applicant certifies that they are eligible to obtain the licenses for which they apply.

#### For Applicants Claiming Eligibility as a Publicly Traded Corporation

Applicant certifies that they are eligible to obtain the licenses for which they apply and that they comply with the definition of a Publicly Traded Corporation, as set out in the applicable FCC rules.

#### For Applicants Claiming Eligibility using a Control Group Structure

Applicant certifies that they are eligible to obtain the licenses for which they apply.

Applicant certifies that the applicant's sole control group member is a pre-existing entity, if applicable.

## For Applicants Claiming Eligibility as a Very Small Business, Very Small Business Consortium, Small Business, or as a Small Business Consortium

Applicant certifies that they are eligible to obtain the licenses for which they apply.

Applicant certifies that the applicant's sole control group member is a pre-existing entity, if applicable.

#### For Applicants Claiming Eligibility as a Rural Telephone Company

Applicant certifies that they meet the definition of a Rural Telephone Company as set out in the applicable FCC rules, and must disclose all parties to agreement(s) to partition licenses won in this auction. See applicable FCC rules.

#### FCC 601 Schedule K

## Required Notifications for Wireless Services

For Commercial Mobile Radio, Auctionable Fixed Microwave and Personal Radio Services

Approved by OMB 3060 - 0798 See instructions for public burden estimate

	The purpose of this submission is to notify the Commission that: ( ) (enter one purpose only - 1, 2, A, or C. See Items 1 & 3 below)
1)	Satisfaction of Coverage Requirements  1st buildout coverage requirements for the referenced system have been met. (complete #2, as applicable)
2	and buildout coverage requirements for the referenced system have been met. (complete #2, as applicable)
<u> </u>	Pate required coverage requirements met:

2) Call Signs/Site Numbers (as applicable)

Cito Nit		
Site Number	Call Sign	Site Number
	Site Number	Site Number Call Sign

3)	Consummation of Assignment	of Authorization or Transfer of Control
C	Motification	or transfer of Control

Notification of Consummation of Assignment of Authorization or Transfer of Control.						
File Number of Assignment of Authorization or Transfer of Control:  Date of Consummation:						

Attach exhibit describing circumstances/justification.

## **Extension of Time Requests for Wireless Services**

For Commercial Mobile Radio, Auctionable Fixed Microwave and Personal Radio Services

Approved by OMB 3060 - 0798 See instructions for public burden estimate

Purpose						
The purpose of this submiss	sion is to request an: ( ) (e	nter one purpose only - 1, 2, A, or 0	C. See Items 1 & 3 below)			
1) Coverage Requirements						
<u>1</u> Extension of Time to m	neet 1st buildout coverage requireme	nts. (complete #2, as applicable)				
Extension of Time to m	Extension of Time to meet 2nd buildout coverage requirements. (complete #2, as applicable)					
<u>A</u> Extension of Time to m	neet coverage requirements (not cove	ered by 1st or 2nd coverage requiremen	nts). (complete #2, as applicable)			
New coverage expiration date r	requested:					
Attach exhibit describing circum	nstances/justification.					
2) Call Signs/Site Numbers	(as annlicable)					
., can digitalonte Numbers	(as applicable)					
Call Sign	Site Number	Call Sign	Site Number			
) Consummation of Assigr	nment of Authorization or Trans	sfer of Control				
	onsummate Assignment of Authorizat					
File Number of Assignment of A	authorization or Transfer of Control:	New Consummation expiration date	e requested:			